

SAL/SE/2023-24/P14

April 25, 2023

To,  
The Manager-Listing,  
**Metropolitan Stock Exchange of India Limited**  
205(A), 2nd floor, Piramal Agastya Corporate Park,  
Kamani Junction, LBS Road,  
Kurla (West), Mumbai – 400070

Dear Sir,

**Sub: Regulation 40(9) the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the year ended 31st March, 2023.**

**Ref: Symbol: SAANVI**

With regard to above, please find enclosed herewith a certificate received from M/s. R. K. Choksi & Co., Company Secretaries, Ahmedabad pursuant to Regulation 40(9) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the year ended 31<sup>st</sup> March, 2023.

You are requested to kindly find the above in order and arrange for updating of records accordingly.

Thanking You,

Faithfully Yours,

**FOR SAANVI ADVISORS LIMITED**

**ROOPESH VED**  
**DIRECTOR**  
**DIN: 01504998**

Encl: As stated



**CERTIFICATE UNDER REGULATION 40 (9) OF THE SEBI (LODR) REGULATIONS FOR  
THE YEAR ENDED 31<sup>ST</sup> MARCH, 2023**

The Securities and Exchange Board of India (SEBI) vide gazette notification dated 8<sup>th</sup> June, 2018 and vide its press release dated 3<sup>rd</sup> December, 2018, amended Regulation 40 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and has mandated that the transfer of securities would be carried out only in dematerialized form w.e.f. 1<sup>st</sup> April, 2019. SEBI vide its press release dated 27<sup>th</sup> March, 2019 clarified that the transfer deeds lodged prior to deadline and returned due to deficiency in the document may be re-lodged for transfer even after the deadline of 1<sup>st</sup> April, 2019. Further, SEBI vide its circular dated 7<sup>th</sup> September, 2020 has fixed 31<sup>st</sup> March, 2021 as the cut-off date for re-lodgment of such transfer deeds and the shares that are re-lodged for transfers shall be issued only in demat mode. SEBI has specified operational guidelines for transfer and dematerialization of re-lodged physical shares vide its circular dated 2<sup>nd</sup> December, 2020.

SEBI vide gazette notification dated 24<sup>th</sup> January, 2022 read with SEBI circular no. SEBI/HO/MIRSD/MIRSD\_RTAMB/P/CIR/2022/8 dated 25<sup>th</sup> January, 2022 has mandated that companies shall effect issuance of certificates or receipts or advices, as applicable in dematerialized form only, while processing the service requests relating to Issue of duplicate securities certificate, Claim from Unclaimed Suspense Account, Renewal/ Exchange of securities certificate, Endorsement, Sub-division/Splitting of securities certificate, Consolidation of securities certificates/folios, Transmission and Transposition.

Accordingly, we have examined all Shares transfer deeds, Memorandum of transfers, Registers, files and other documents relating to **M/s. Saanvi Advisors Limited** having its registered office at 304, Shopper's Plaza-V, Govt Servant Co-Op Hsg. Soc, Opp. Municipal Market, C.G. Road, Navrangpura, Ahmedabad-380009 maintained by their Registrar and Share Transfer Agent **M/s. Link Intime India Private Limited** pertaining to transfer of Equity Shares of the Company for the Period from **01<sup>st</sup> April, 2022 to 31<sup>st</sup> March, 2023** for the purpose of issuing a Certificate as per Regulations 40(9) of the SEBI(Listing Obligation and disclosure requirements) Regulations 2015 and the listing Agreement entered into by **M/s. Saanvi Advisors Limited**, with the Stock Exchanges.

Based on our examination of records of the Company, in our opinion and to the best of the information and according to explanation given to us, we hereby certify that, there were no transfer requests, no request for sub division, consolidation, renewal, exchange or endorsement of calls/allotment monies in respect of financial year ended on 31st March, 2023.

**For R. K. Choksi & Co.**



*R. K. Choksi*  
**CS Rutesh Choksi**

**Proprietor**

**FCS- 11964 CP- 17315**

**UDIN: F011694E000189401**

**DATE: 25.04.2023**

**PLACE: AHMEDABAD**