

## (Formerly known as Saptharishi Finance Limited) October 17, 2016

To,
Mr. Anish Kumar
Assistant Vice President-Lisitng
Metropolitan Stock Exchange of India Limited
Vibgyor Towers, 4th Floor, Plot No. C 62, G-Block,
Opp. Trident Hotel, Bandra Kurla Complex,
Bandra (E), Mumbai-400098

Dear Sir,

Sub: Statement of Investor Complaints under Regulation 13(3) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the Quarter ended 30th September, 2016

Pursuant to the regulation 13(3) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, we enclose herewith the statement of investor complaints of the Saanvi Advisors Limited for the quarter ended 30th September, 2016.

You are requested to kindly find the above in order and take the same on your record.

Thanking You,

Faithfully Yours,

FOR SAANVI ADVISORS LIMITED

(Formerly Known as Saptharishi Finance Limited)

J.H. majitha.

Jasmin Majithia (Company Secretary)

Encl: as Above

Regd. Office: 112, F.F.S NO. 318/7/1, M. V. House, Opp. Hajipura Garden, Shahibaug Road, Shahibaug, Ahmedabad-380004 CIN: L74140GJ1981PLC084205 • Email: saptharishifin@gmail.com • Ph. No.: 079-25620456

Website: www.saptharishi.in



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## Statement of Investor Complaints under Regulation 13(3) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the Quarter ended 30th September, 2016

| Sr.<br>N<br>o. | Nature of Complaint              | Pending<br>as on<br>01.07.2016 | Received | Disposed<br>off | Pending as<br>on<br>30.09.2016 |
|----------------|----------------------------------|--------------------------------|----------|-----------------|--------------------------------|
| 1              | SEBI                             | Nil                            | Nil      | Nil             | Nil                            |
| 2              | NSDL/CDSL                        | Nil                            | Nil      | Nil             | Nil                            |
| 3              | Stock Exchange                   | Nil                            | Nil      | Nil             | Nil                            |
| 4              | Non-Receipt of Share Certificate | Nil                            | Nil      | Nil             | Nil                            |
| 5              | Non-Receipt of Annual Report     | Nil                            | Nil      | Nil             | Nil                            |
| 6              | Non-Receipt of Dividend          | Nil                            | Nil      | Nil             | Nil                            |
|                | Total                            | Nil                            | Nil      | Nil             | Nil                            |

## FOR SAANVI ADVISORS LIMITED

(Formerly Known as Saptharishi Finance Limited)

J. H. May Hlva.

Jasmin Majithia (Company Secretary)

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