

SAL/SE/2016/P42/  
October 19, 2016

To,  
Mr. Anish Kumar  
Assistant Vice President-Listing  
Metropolitan Stock Exchange of India Limited  
Vibgyor Towers, 4th Floor, Plot No. C 62, G-Block,  
Opp. Trident Hotel, Bandra Kurla Complex,  
Bandra (E), Mumbai-400098

Dear Sir,

**Sub: Regulation 40 (9) the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 - 30.09.2016**

With regard to above enclosed please find herewith a certificate received from Mr. Jatin Kapadia, Company Secretary, Ahmedabad pursuant to Regulation 40(9) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the half year ended as on 30<sup>th</sup> September, 2016.

You are requested to kindly find the above in order and arrange for updating of records accordingly.

Thanking You,

Faithfully Yours,

**FOR SAANVI ADVISORS LIMITED**  
(Formerly Known as Saptharishi Finance Limited)

*J. H. Majithia*

Jasmin Majithia  
Company Secretary

Encl: As stated

**CERTIFICATE**

(CERTIFICATE ISSUED UNDER REGULATION 40(9) OF THE SECURITIES AND EXCHANGE BOARD OF  
INDIA (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015)

**CERTIFICATE**

We have examined all Shares transfer deeds, Memorandum of transfers, Registers, files and other documents relating to M/s. Saanvi Advisors Limited (Formerly Known as Saptharishi Finance Limited) having its registered office at 112, F.F. S.No. 318/7/1, M. V. House, Opp. Hajipura Garden, Shahibaug Road, Shahibaug, Ahmedabad - 380004 maintained by their Registrar and Share Transfer Agent M/s. Link Intime India Private Limited pertaining to transfer of Equity Shares of the Company for the Period from 01.04.2016 to 30.09.2016 for the purpose of issuing a Certificate as per Regulations 40(9) of the SEBI(Listing Obligation and disclosure requirements ) Regulations 2015 entered into by M/s. Saanvi Advisors Limited, with the Stock Exchanges.

Based on our examination of the records of the Company, in our opinion and to the best of our information and according to explanations given to us, we hereby certify that, there were no transfer requests, no request for sub division, consolidation, renewal, exchange or endorsement of calls/ allotment monies in respect of half year ended 30<sup>th</sup> September, 2016.

Place: Ahmedabad  
Date: 17.10.2016



*Jatin H. Kapadia*  
Jatin Kapadia  
Company Secretary  
COP. 12043