

SAL/SE/2022-23/P42  
October 20, 2022

To,  
The Manager, Listing  
**Metropolitan Stock Exchange of India Limited**  
205(A), 2nd floor, Piramal Agastya Corporate Park,  
Kamani Junction, LBS Road, Kurla (West),  
Mumbai – 400070.

Dear Sir,

**Sub: SDD compliance certificate for the quarter ended September 30, 2022**

Pursuant to Regulation 3(5) and 3(6) of Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015 (PIT Regulations), Please find enclosed herewith the SDD compliance certificate for the quarter ended September 30, 2022.

Kindly take the above information on record.

Thanking You,

Faithfully Yours,

**FOR SAANVI ADVISORS LIMITED**

**ROOPESH VED**  
**DIRECTOR**  
**DIN: 01504998**

Encl: As stated

**COMPLIANCE CERTIFICATE FOR THE QUARTER ENDED SEPTEMBER 2022**  
(Pursuant to Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015)

I, **Prity Bokaria**, Company Secretary and Compliance Officer, have examined the following compliance requirement of **SAANVI ADVISORS LIMITED (CIN: L74140GJ1981PLC084205)** and certify that the Company has maintained a Structured Digital Database (SDD) pursuant to provisions of Regulation 3(5) and 3(6) of **Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015** (PIT Regulations):

| Sr. No | Compliance Requirement  | Yes/No | Observation/ Remark |
|--------|---|--------|---------------------|
| 1.     | Whether the Company has a Structured Digital Database in place?   | YES    | -                   |
| 2.     | Whether control exists as to who can access the SDD for read/ write alongwith the names and PAN of such person?   | YES    | -                   |
| 3.     | Whether all the UPSI had been captured in the Database. If not details of events that had not been captured and the reason for the same?  | YES    | -                   |
| 4.     | Whether the recipients were upfront informed that the information which they will be receiving shortly is UPSI and the entry has been captured in the Database prior to forwarding the UPSI data. If not details of events that have not been captured and the reason for the same? | YES    | -                   |
| 5.     | Whether nature of UPSI has been captured along with date and time?  | YES    | -                   |
| 6.     | Whether name of persons who have shared the information has been captured along with PAN or any other identifier?   | YES    | -                   |
| 7.     | Whether name of persons with whom information is shared has been captured along with PAN or any other identifier?   | YES    | -                   |
| 8.     | Whether the database has been maintained internally?  | YES    | -                   |
| 9.     | Whether audit trail is maintained?  | YES    | -                   |
| 10.    | Whether time stamping is maintained?  | YES    | -                   |
| 11.    | Whether the database is non-tamperable?   | YES    | -                   |
| 12.    | Any other measures to ensure non-tamperability of the Database?   | YES    | -                   |

The number of days for which non-compliance was observed: NIL

Further I also confirm that the Company was required to capture three events during the quarter ended 30<sup>th</sup> September, 2022 and has captured all of the said required events.

For, **Saanvi Advisors Limited**

**Prity Bokaria**  
Company Secretary and Compliance Officer

Date: 20/10/2022

Place: Ahmedabad

**Regd. Office :** 304, Shoppers Plaza V, The Govt. Servants Co Op Housing Society Limited, Opp. Municipal Market, C. G. Road, Navrangpura, Ahmedabad 380 009.

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