

(Formerly known as Saptharishi Finance Limited)

SAL/SE/2016/P25/

July 13, 2016

To,

Mr. Anish Kumar

Assistant Vice President-Listing

**Metropolitan Stock Exchange of India Limited**

Vibgyor Towers, 4th Floor, Plot No. C 62, G-Block,

Opp. Trident Hotel, Bandra Kurla Complex,

Bandra (E), Mumbai-400098

Dear Sir,

**Sub: Statement of Investor Complaints under Regulation 13(3) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the Quarter ended 30<sup>th</sup> June, 2016**

Pursuant to the regulation 13(3) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, we enclose herewith the statement of investor complaints of the Saanvi Advisors Limited for the quarter ended 30<sup>th</sup> June, 2016.

You are requested to kindly find the above in order and take the same on your record.

Thanking You,

Faithfully Yours,

**FOR SAANVI ADVISORS LIMITED**

(Formerly Known as Saptharishi Finance Limited)

*J. H. Majithia*

Jasmin Majithia

(Company Secretary)

Encl: as Above

(Formerly known as Saptharishi Finance Limited)

Statement of Investor Complaints under Regulation 13(3) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the Quarter ended 30<sup>th</sup> June, 2016

| Sr. No. | Nature of Complaint              | Pending as on 01.04.2016 | Received | Disposed off | Pending as on 30.06.2016 |
|---------|----------------------------------|--------------------------|----------|--------------|--------------------------|
| 1       | SEBI                             | Nil                      | Nil      | Nil          | Nil                      |
| 2       | NSDL/CDSL                        | Nil                      | Nil      | Nil          | Nil                      |
| 3       | Stock Exchange                   | Nil                      | Nil      | Nil          | Nil                      |
| 4       | Non-Receipt of Share Certificate | Nil                      | Nil      | Nil          | Nil                      |
| 5       | Non-Receipt of Annual Report     | Nil                      | Nil      | Nil          | Nil                      |
| 6       | Non-Receipt of Dividend          | Nil                      | Nil      | Nil          | Nil                      |
|         | <b>Total</b>                     | Nil                      | Nil      | Nil          | Nil                      |

**FOR SAANVI ADVISORS LIMITED**

(Formerly Known as Saptharishi Finance Limited)

*J. H. Majithia*

Jasmin Majithia  
(Company Secretary)