

SAL/SE/2023-24/P69  
October 19, 2023

To,  
The Manager-Listing,  
**Metropolitan Stock Exchange of India Limited**  
205(A), 2nd floor, Piramal Agastya Corporate Park,  
Kamani Junction, LBS Road,  
Kurla (West), Mumbai – 400070

Dear Sir,

**Sub: SDD compliance certificate for the quarter ended September 30, 2023**

Pursuant to Regulation 3(5) and 3(6) of Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015, please find enclosed herewith the SDD compliance certificate for the quarter ended September 30, 2023.

Kindly take the above information on record.

Thanking You,

Faithfully Yours,

**FOR SAANVI ADVISORS LIMITED**

**ROOPESH VED**  
**DIRECTOR**  
**DIN: 01504998**

Encl: As stated

**COMPLIANCE CERTIFICATE FOR THE QUARTER ENDED SEPTEMBER, 2023  
(Pursuant to Securities and Exchange Board of India (Prohibition of Insider Trading)  
Regulations, 2015)**

I, Prity Bokaria, Company Secretary and Compliance Officer of Saanvi Advisors Limited, am aware of the compliance requirement of Structured Digital Database (SDD) pursuant to provisions of Regulation 3(5) and 3(6) of Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015 (PIT Regulations) and I certify that:

1. The Company has a Structured Digital Database in place
2. Control exists as to who can access the SDD
3. All the UPSI disseminated in the previous quarter have been captured in the Database
4. The system has captured nature of UPSI along with date and time
5. The database has been maintained internally and an audit trail is maintained
6. The database is non-tamperable and has the capability to maintain the records for 8 years.

I also confirm that the Company was required to capture **One events** during the quarter ended and has captured all the said required events.

I would like to report that the following non -compliance(s) was observed in the previous quarter and the remedial action(s) taken along with timelines in this regard: **Not applicable**

**For, Saanvi Advisors Limited**

**Prity Bokaria**  
**Company Secretary and Compliance Officer**